

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Jones

Serial No.: 08/852,119

Art Unit: 3614

Filed: May 6, 1997

Examiner: Louis-Jacques, J.

For: ADVANCE NOTIFICATION
SYSTEM AND METHOD UTILIZING
A COMPUTER NETWORK

Docket No.: 050701-1026

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Box: IDS

Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
☒ Certification Under 37 C.F.R. 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office or notice of allowance, whichever occurs first)
- ☐ under 37 CFR (d) together with a:
☐ Certification under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2)(ii), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final office or notice of allowance, whichever occurs first, but before payment of the issue fee)

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 20-0778 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

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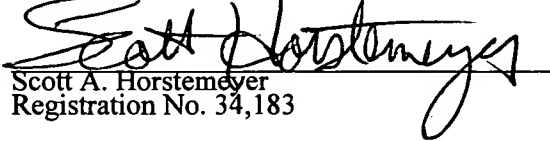
Proctor
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It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

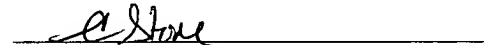
By:


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December 15, 1998



GAU3614
PATENT 3661

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Group Art Unit: 3614

Examiner: Louis-Jacques, J.

Docket No. 050701-1026

CERTIFICATION UNDER 37 CFR 1.97(e)

Assistant Commissioner for Patents
Box: IDS
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that either:

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or in a PCT Application, not more than three months prior to the filing of the statement, or
- ☒ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign office in a counterpart foreign application, and to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information Disclosure Statement.

Respectfully Submitted,

THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.

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